

July 9, 2021

QUARTERLY FINANCIAL REPORT  
GRANTS: P425F201370 INSTITUTIONAL SUPPORT FUNDS AND  
P425L200531 MSI SUPPORT  
PERIOD REPORTED: June 30, 2021

[HEERFreporting@ed.gov](mailto:HEERFreporting@ed.gov)



Please find attached the financial report for the corresponding grants. This report, as of June 30, 2021 reflects \$7,377,416 in expenses. This is because, even though we are already in the process of carrying out all our budget plan as approved by our Institutional CARES Committee, most of the funds are still under encumbrances as well as salaries pending to be paid. As of June 30<sup>th</sup> we have under our official accounting system \$1,652,192 in purchasing encumbrances pending to be paid. Most of the CRRSAA funds are still pending to be obligated as we are currently reviewing all the purchases that will be processed in the coming months.

Also, the use of ARP funds is under review by our Chancellor and we expect to start the use of these funds in August 2021.

The budget plan approved and a report offering this information to our community has been posted in our webpage (<https://www.uprpu.edu/cares-act/>).

I hereby certify this report as correct as of today, July 9, 2021.

Ana M. Feliciano  
Post Award Coordinator

Approved by: Dr. Carlos I. Gonzalez Vargas  
Dean of Graduate Studies and Research

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**Quarterly Budget and Expenditure Reporting for HEERF I, II, and III (a)(1) Institutional Portion, (a)(2), and (a)(3), if applicable**

**Institution Name:** UNIVERSITY OF PUERTO RICO-RIO PIEDRAS **Date of Report:** 7/9/21 **Covering Quarter Ending:** 6/30/21

**PR/Award Number(s):** P425F201370 AND P425L200531

**Total Amount of Funds Awarded:** Section (a)(1) Institutional Portion: \$52,360,374 Section (a)(2): \$2,939,522 Section (a)(3): N/A **Final Report?**

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Providing additional emergency financial aid grants to students. <sup>1</sup>	\$4,463,651			With institutional grant funds we awarded \$355.16 to 12,568 students as an additional support.
Providing reimbursements for tuition, housing, room and board, or other fee refunds.				
Providing tuition discounts.				
Covering the cost of providing additional technology hardware to students, such as laptops or tablets, or covering the added cost of technology fees.				
Providing or subsidizing the costs of high-speed internet to students or faculty to transition to an online environment.				
Subsidizing off-campus housing costs due to dormitory closures or decisions to limit housing to one student per room; subsidizing housing costs to reduce housing density; paying for hotels or other off-campus housing for students who need to be isolated; paying travel expenses for students who need to leave campus early due to coronavirus infections or campus interruptions.				
Subsidizing food service to reduce density in eating facilities, to provide pre-packaged meals, or to add hours to food service operations to accommodate social distancing.				
Costs related to operating additional class sections to enable social distancing, such as those for hiring more instructors and increasing campus hours of operations.				

<sup>1</sup> To support expenses related to the disruption of campus operations due to coronavirus consistent with applicable law. This includes eligible expenses under a student’s cost of attendance under CARES Act Section 18004(c), or any component of a student’s cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care (including mental health care), or child care, per Section 314(c) of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA), and Section 2003 of the American Rescue Plan Act of 2021 (ARP).

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Campus safety and operations. <sup>2</sup>	\$36,879			We have acquired the services of 2 epidemiologists and 3 nurses in this first phase. Nurses have been giving support in the vaccination process. Also, purchases were made of items needed during the vaccination process.
Purchasing, leasing, or renting additional instructional equipment and supplies (such as laboratory equipment or computers) to reduce the number of students sharing equipment or supplies during a class period and to provide time for disinfection between uses.	\$2,602,048			Lab equipment, UV Lights, computers, softwares, WIFI antenas, hotspot services, etc. have been purchased in order to provide students and faculty members the resources needed to carry out their academic duties the best way possible in a remote basis. Also, equipment to convert rooms to virtual classrooms have been purchased in order to be able to offer our academic courses to students either on campus or off campus. Encumbrances in the amount of \$1,652,193 are accounted for in our system. A workplan for the use of ARP funds is being considered for approval. No funds have yet been used from this assignment.
Replacing lost revenue from academic sources. <sup>3</sup>				
Replacing lost revenue from auxiliary services sources (i.e., cancelled ancillary events; disruption of food service, dorms, childcare, or other facilities; cancellation of use of campus venues by other organizations, lost parking revenue, etc.). <sup>3</sup>				

<sup>2</sup> Including costs or expenses related to the disinfecting and cleaning of dorms and other campus facilities, purchases of personal protective equipment (PPE), purchases of cleaning supplies, adding personnel to increase the frequency of cleaning, the reconfiguration of facilities to promote social distancing, etc.

<sup>3</sup> Please see the Department’s [HEERF Lost Revenue FAQs](#) (March 19, 2021) for more information regarding what may be appropriately included in an estimate of lost revenue.

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Purchasing faculty and staff training in online instruction; or paying additional funds to staff who are providing training in addition to their regular job responsibilities.	\$167,566			With these funds we have paid faculty members to work on the conversion of courses in order to make them available in digital platforms. This allows for classes to be offered in a hybrid mode or distance learning format, as well as on campus. Also, professional services of Instructional Designers are used to help and guide our faculty in the design of objectives, resources and learning activities for each online course in order to ensure we achieve the learning objectives. They also collaborate in the production of all multimedia aspects of these courses as well as create and save all master courses in the Moodle platform.
Purchasing, leasing, or renting additional equipment or software to enable distance learning, or upgrading campus wi-fi access or extending open networks to parking lots or public spaces, etc.		\$45,586		Reimbursement to faculty members for purchasing of approved materials and equipment in order to carry out efficiently a distance learning education. Total spent PTD: \$718,034.79
Other Uses of (a)(1) Institutional Portion funds. <sup>4</sup>	\$61,686			Stipends for students collaborating with faculty members in the process of converting to a digital format educational materials in order to offer a more efficient distance learning experience. Also, administrative support staff and technical support

<sup>4</sup> Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that funds for (a)(1) Institutional Portion may be used to defray expenses associated with coronavirus (including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, and payroll).

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
				staff are being paid in order to process all the transactions resulting from these grants and offering technical support to faculty with regards to all the technology being installed in order to offer courses in a hybrid or Distance learning mode.
Other Uses of (a)(2) or (a)(3) funds, if applicable. <sup>5</sup>				
<b>Quarterly Expenditures for Each Program</b>	<b>\$7,331,830</b>	<b>\$45,586</b>		
<b>Total of Quarterly Expenditures</b>	<b>\$7,377,416</b>			

**Form Instructions**

*Completing the Form:* On each form, fill out the institution of higher education (IHE or institution) name, the date of the report, the appropriate quarter the report covers (September 30, December 31, March 31, June 30), the 11-digit PR/Award Number (number is found in Box 2 of your Grant Award Notification (GAN)) for each HEERF grant funding stream as applicable, the total amount of funds awarded by the Department (including reserve funds if awarded), and check the box if the report is a “final report.” Institutions that expended HEERF grant funds during the calendar quarter from January 1 – March 30, 2021 are required to post the quarterly report that involved the expenditure of HEERF II CRRSAA and HEERF I CARES Act funds. The Department did not previously affirmatively indicate this reporting requirement was in place for HEERF II CRRSAA funds. As such, institutions may have until the end of the second calendar quarter, June 30, 2021, to post these retroactive reports if they have not already done so. For the July 10, 2021 quarterly reporting deadline, institutions are encouraged, but not required to, submit the quarterly reports (this institutional reporting form and the student quarterly report) to the Department by emailing those reports as PDF attachments to [HEERFreporting@ed.gov](mailto:HEERFreporting@ed.gov).

In the chart, an institution must specify the amount of expended HEERF I, II, and III funds for each funding category: (a)(1) Institutional Portion; (a)(2), and (a)(3), if applicable. (a)(2) funds include Assistance Listing Numbers (ALNs) 84.425J (Historically Black Colleges and Universities (HBCUs)), 84.425K (Tribally Controlled Colleges and Universities (TCCUs)), 84.425L (Minority Serving Institutions (MSIs)), 84.425M (Strengthening Institutions Program (SIP)); (a)(3) funds are for ALN 84.425N (Fund for the Improvement of Postsecondary Education (FIPSE) Formula Grant) and 84.425S (SAIHE). Each category is deliberately broad and may not capture specific grant program requirements. Explanatory footnotes help clarify certain reporting categories. While some items in the chart are blocked out, please note that the blocking of such items is consistent with Department guidance and FAQs and is not definitive. Provide brief explanatory notes for how funds were

<sup>5</sup> Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that funds for (a)(2) and (a)(3) may be used to defray expenses associated with coronavirus (including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, and payroll).

expended, including the title and brief description of each project or activity in which funds were expended. Do not include personally identifiable information (PII). Calculate the amount of the (a)(1) Institutional Portion, (a)(2) and (a)(3) funds in the “Quarterly Expenditures for each Program” row, and the grand total of all three in the “Total of Quarterly Expenditures” row. Round expenditures to the nearest dollar.

*Posting the Form:* This form must be conspicuously posted on the institution’s primary website on the same page the reports of the IHE’s activities as to the emergency financial aid grants to students made with funds from the IHE’s allocation under (a)(1) of the CARES Act, CRRSAA, and ARP (Student Aid Portion) are posted. It may be posted in an HTML webpage format or as a link to a PDF. A new separate form must be posted covering each quarterly reporting period (September 30, December 31, March 31, June 30), concluding after either (1) posting the quarterly report ending September 30, 2023 or (2) when an institution has expended and liquidated all (a)(1) Institutional Portion, (a)(2), and (a)(3) funds and checks the “final report” box. IHEs must post this quarterly report form no later than 10 days after the end of each calendar quarter (October 10, January 10, April 10, July 10). Each quarterly report must be separately maintained on an IHE’s website or in a PDF document linked directly from the IHE’s HEERF reporting webpage. Reports must be maintained for at least three years after the submission of the final report per 2 CFR § 200.333. Any changes or updates after initial posting must be conspicuously noted after initial posting and the date of the change must be noted in the “Date of Report” line.

#### **Paperwork Burden Statement**

According to the Paperwork Reduction Act of 1995 (PRA), no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0849. Public reporting burden for this collection of information is estimated to average 2 hours per response, including time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. Under the PRA, participants are required to respond to this collection to obtain or retain benefit. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application, or survey, please contact [HEERFreporting@ed.gov](mailto:HEERFreporting@ed.gov), U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202.